



**MINERAL TENEMENT: INSTRUMENT OF TRANSFER**

**USE THIS FORM TO:** Apply to transfer ownership of your share of a lease or licence .

**Section A: Tenement Details**

List the tenement(s) affected by the transfer and their location.

This form applies to:  
EL, ML, EML, MPL, RL, PM

TENEMENT No.	LOCATION	ⓘ You may list multiple mineral tenements <b>ONLY IF</b> the parties involved and the percentage share being transferred from/to are exactly the same.

**Section B: Transferor – Existing tenement holder transferring interest in tenement(s)**

TENEMENT HOLDER NAME	CURRENT % HELD	% TO BE TRANSFERED	NEW TOTAL % HELD	ⓘ Enter the proposed ownership percentage.
Transferor				

Contact name

Company name

Email address

Postal address line 1

Postal address line 2

Suburb/Locality

Telephone

	Position		ⓘ Nominate one contact person for all queries and correspondence regarding this transfer, on behalf of the current holder.
	ABN/ACN		
		State   Postcode	
	Mobile		

**Section C: Transferee - proposed tenement holder following transfer**

	NAME OF COMPANY OR INDIVIDUAL	CURRENT % HELD	% TO BE TRANSFERED	NEW TOTAL % HELD	ⓘ Enter the proposed ownership percentage. *Attach an Applicant Details form for each transferee.
Transferee (1)		%	%	%	
Transferee (2)		%	%	%	
	<b>TOTAL</b>		%		

Note: Total % to be transferred MUST equal the % transferred stated in section B.


Are the Transferor and Transferee related within the meaning of section 50 of the Corporations Act 2001 (Cth)?

Yes  No

Following the transfer, will the mineral tenement be held subject to a trust?

Yes  No

## Section D: Transferee contact details

Contact name	[ ]	Position	[ ]	 Nominate one contact person for all queries and correspondence regarding this transfer, on behalf of the proposed holder(s).
Company name	[ ]	ABN/ACN	[ ]	
Email address	[ ]			
Postal address line 1	[ ]			
Postal address line 2	[ ]			
Suburb/Locality	[ ]	State	Postcode	
Telephone	[ ]	Mobile	[ ]	


**Note: Correspondence regarding this application may be sent to the transferor and/or transferee.**

## Section E: Caveats and Mortgages


Is the tenement(s) subject to any mortgages or caveats?

Yes  No

If you answered yes, please list below the relevant tenement number, the instrument number of the mortgage or caveat, and if the mortgage or caveat provides for the transfer of the tenement or registration of a dealing.

TENEMENT No.	MORTGAGE/CAVEAT INSTRUMENT No.	DOES THE MORTGAGE/CAVEAT PERMIT TRANSFER	 You may list multiple mineral tenements <b>ONLY IF</b> the parties involved and the percentage share being transferred from/to are exactly the same.
[ ]	[ ]	[ ]	
[ ]	[ ]	[ ]	
[ ]	[ ]	[ ]	

## Section F: Attachments

<input type="checkbox"/> A copy of the dealing that seeks to transfer or assign the tenement.	
<input type="checkbox"/> <b>IF</b> the tenement will be held subject to a <b>trust</b> , a copy of the trust instrument.	
<input type="checkbox"/> A statement of the technical, operational and financial capabilities and resources available to the transferor for the purpose of carrying out operations under the relevant mineral tenement. The relevant checklist provides further information regarding this requirement.	<p> Applications <b>must</b> contain these items in order to be valid.</p>
<input type="checkbox"/> A statement by the applicant or a related body corporate outlining any contravention of, or failure to comply with, a provision of a corresponding law or designated Act in connection with authorised operations carried out by them within the preceding period of 5 years that resulted in: <ul style="list-style-type: none"> <li>(i) the revocation or suspension of an authority to carry out authorised operations; or</li> <li>(ii) (a prosecution for an offence; or</li> <li>(iii) the imposition of a penalty by a court; or</li> <li>(iv) the issuing of a notice, direction or order that required the suspension or discontinuance of any authorised operations or the rectification of any harm to the environment or the rehabilitation of any land, place or other aspect of the environment.</li> </ul>	
<input type="checkbox"/> A compliance report for the period between the last reporting period (which is related to the type of mineral tenement being transferred) and the date of the report, and which meets the requirements of <a href="#">Terms of Reference 009</a> . (For further information, refer to guideline <a href="#">MG33</a> )	
<input type="checkbox"/> <b>IF</b> the tenement being transferred is an <b>Exploration Licence</b> , a statement: <ul style="list-style-type: none"> <li>(i) nominating the principal mineral or minerals that the purchaser is seeking under the exploration licence and the exploration model that the purchaser intends to employ for the purposes of exploring for that mineral or those minerals; and</li> <li>(ii) outlining whether the purchaser or a related body corporate has, within the preceding period of 3 months, held an exploration licence (or an interest in an exploration licence) in relation to any area in respect of which the area of the application relates.</li> </ul> (For more information, refer to Guideline <a href="#">MG33</a> )	

## Section F: Transferor Declaration and Certification

- Companies – Form must be signed by authorised officer/s in accordance with your company's structure under the *Corporations Act*, eg Director/Secretary, Sole Director etc.
- Individuals – Individual must sign as signatory 1, and a witness who is not a beneficiary of the transfer must sign as signatory 2.
- Couples – Couples who are joint holders must both sign this form.

### The transferor agrees:

Subject to the consent of the Minister under the Act, I/we agree to the total/partial transfer of the tenements listed to the nominated transferees.

### The transferor declares:

I understand that responsibility for the tenements remains with the transferor until Ministerial Consent (under delegation) is given and the transfer is registered on the Mining Register. This includes compliance with reporting obligations and payment of monies due to Crown.

I declare there are no outstanding monies due to Crown (i.e. royalties, rents or fees) applicable to the tenements related to this transfer.

I declare there are no outstanding reports (i.e. compliance reports, mining returns) applicable to the tenements.

APPLICANT 1	Individual or Company Representative 1		Individual's Witness or Company Representative 1		ⓘ Ensure that applicants sign in the correct order, as listed on page 1.
Print Name	1.		2.		
Signature	1.		2.		
Date					
APPLICANT 2	Individual or Company Representative 2		Individual's Witness or Company Representative 2		
Print Name	1.		2.		
Signature	1.		2.		
Date					

**COMPANY:** Sign in accordance with the *Corporations Act 2001*. If agent, written authority must be provided.

**INDIVIDUAL:** Signature must be witnessed by a person who is not a beneficiary of the application (e.g. not a joint applicant).

## Section G: Transferee Declaration and Certification

**The transferee(s) (including existing joint holders with increased percentage ownership) agrees that:**

Subject to the consent of the Minister under the Act;

I agree to hold, and adhere to the conditions of, the mineral tenement(s) listed in Section A.

I accept the conditions of transfer described in the dealing to transfer the tenement(s).

I agree to accept full responsibility for all existing rehabilitation liability of the mineral tenement(s) listed in Section A.


I agree to take full responsibility for the mineral tenement(s) and for any existing workings and compliance issues relating the mineral tenement(s) listed in Section A.

I am aware a bond review may be undertaken by the department in assessing this application, and I agree to lodge a bond if requested to do so.

I agree to submit the reports required under the Act.

I understand responsibility for the mineral tenement(s) is not transferred until I receive notification that the transfer has been registered in the Mining Register.

I understand that upon transfer of the tenement, mining operations must be undertaken in accordance with an approved Program for Environment Protection and Rehabilitation (PEPR) or Mines Operation Plan (MOP). An existing PEPR or MOP may be subject to review in accordance with part 10A or part 11B of the Mining Act.


APPLICANT 1	Individual or Company Representative 1		Individual's Witness or Company Representative 1		 Ensure that applicants sign in the correct order, as listed on page 1.
Print Name	1.		2.		
Signature	1.		2.		
Date					
APPLICANT 2	Individual or Company Representative 2		Individual's Witness or Company Representative 2		
Print Name	1.		2.		
Signature	1.		2.		
Date					

**COMPANY:** Sign in accordance with the *Corporations Act 2001*. If agent, written authority must be provided.

**INDIVIDUAL:** Signature must be witnessed by a person who is not a beneficiary of the application (e.g. not a joint applicant).

## OFFICE USE ONLY

### Section H: Consent is given to this transfer

Signature of the Minister or delegate under the Act		Date signed		 OFFICE USE ONLY



**MINERAL TENEMENT: APPLICANT DETAILS**

**USE THIS FORM TO:**

Provide the details of a new client, or provide updated details for an existing client. One company or one individual per page only. No joint names.

This applicant's percentage share		%	Applicant number		of		ⓘ Provide the total number of applicants.
		%			of		

**Applicant type:**     **Company**

Company name				ⓘ If 'Company', provide <b>registered business street address</b> , and either an ABN or ACN.  New company clients need to attach a copy of their certificate of business registration.
ABN		ACN		
Registered address line 1				
Registered address line 2				
Suburb / Locality		State	Postcode	
<input type="checkbox"/> <b>Individual</b>				
Surname		Given names		

**Applicant Contact Details**     Postal address is the same as company registered address above

Postal Address Line 1				ⓘ Provide a postal address if it is different to the registered business street address.
Postal Address Line 2				
Suburb / Locality		State	Postcode	
Email				
Website				
Telephone		Fax		

**Contact Person for Queries**

Contact Name		Position / Role		ⓘ A contact person <b>must</b> be nominated for each client.
Email				
Telephone		Mobile		

**Certified that the applicant's details are correct**

Name				ⓘ May be certified by any appropriate person.
Signature				